

# **BUS-F410 Financial Institutions & Markets**

## **Brief Info**

School of Business, Indiana University Southeast

### **Instructor and Contact Information**

Name: Yan He

[Yan He's Professional Website](#)

Phone: 812-941-2308

Email: [Canvas Inbox](#) (preferred); [IU email](#)

Zoom: Zoom Link (in the left navigation bar of Canvas course site)

Office hours: [see the syllabus of a specific semester](#). Zoom meeting (or office meeting) is by appointment.

### **Course Status, Prerequisites, and Description**

#### Course status

Finance Required (Undergraduate)

#### Course prerequisites

BUS-F301 (required); ECON-E350 (recommended)

In case your background is overqualified, see [Yan He's Professional Website \(Courses\)](#) for relevant certification exams and other situations.

#### Course description

IUS bulletin (BUS-F410): This course looks at the intermediary roles played by the various types of financial institutions and markets. Besides understanding the differences between different institutions, such as commercial banks, credit unions, savings associations, and insurance companies, the course also covers issues related to the management and regulation of financial institutions, role of central banking, and the conduct of monetary policy. Although the primary emphasis is on the functions of financial institutions, different types of financial markets, such as mortgage, money, and capital markets, and related issues are also covered.

From the Instructor (BUS-F410): The course is required for students with a finance concentration, and it focuses on fixed-income instruments and their analysis from the perspective of markets and institutions. Its content is relevant to the exams of Series 7 and Chartered Financial Analyst (CFA) Level I. Topics include fixed-income markets and instruments, financial institutions with fixed-income assets and liabilities, and management of fixed-income risk endured by financial institutions.

## **Texts**

Textbook: Financial Markets and Institutions, by Saunders, Cornett, and Erhemjamts.  
Edition: [see the syllabus of a specific semester](#).

## **Course Outline**

- T00 Welcome and Course Introduction
- T01 Overview of Financial Markets & Institutions
- T02 Money & Bond Markets
- T03 Mortgage Markets
- T04 Stock Markets (for your reference)
- T05 Commercial Banks
- T06 Other Lending Institutions
- T07 Insurance Companies
- T08 Security Firms & Investment Banks
- T09 Fund Institutions
- T10 Managing Credit Risk
- T11 Managing Interest Rate Risk
- T12 Managing Liquidity & Insolvency Risk (for your reference)
- T13 Managing Risk with Derivatives